Immunotherapy for Colorectal Cancer

University of Tennessee Health Science Center Surgical Oncology Symposium: *State of the Art Management of Colorectal Cancer*

Andrea Cercek, MD Head, Colorectal Cancer Section Co-Director Center for Young Onset Colorectal and Gastrointestinal Cancers Memorial Sloan Kettering Cancer Center

Disclosures

- Research funding: GSK, Seagen
- Advisory Role: Merck, Pfizer, Roche, GSK, Janssen, Bayer, Seagen

Metastatic MMRd/MSI Colorectal Cancer



Keynote 16: Pembrolizumab



Le DT et al. N Engl J Med. 2015;372(26):2509-2520.

Refractory mCRC

CheckMate142

Nivolumab ± ipilimumab (CheckMate142, phase II)



Overman MJ et al. J Clin Oncol. 2018;36(8):773-779.

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KEYNOTE-177: First-Line Pembrolizumab vs Chemo

Antitumor Response

PRESENTED AT:

	Pembrolizumab N = 153	Chemotherapy N = 154
ORR, n (%)	67 (43.8)	51 (33.1)
Difference, estimate (95% CI) P-value		0.2-21.3) 0275
Best Overall Response, n (%)		
Complete response	17 (11.1)	6 (3.9)
Partial response	50 (32.7)	45 (29.2)
Stable disease	32 (20.9)	65 (42.2)
Disease control rate (CR+PR+SD)	99 (64.7)	116 (75.3)
Progressive disease	45 (29.4)	19 (12.3)
Not evaluable	3 (2.0)	2 (1.3)
No assessment	6 (3.9)	17 (11.0)
Median time to response (range), mo	2.2 (1.8-18.8)	2.1 (1.7-24.9)

Progression-Free Survival



ledian study follow-up: 32.4 months (range, 24.0 - 48.3); PFS (time from randomization to first documented disease progression or death) assessed per RECIST v1.1 by BIC superiority of pembrolizumab vs chemotherapy for PFS was demonstrated at the pre-specified one-sided a = 0.0117; Data cut-off: 19Feb2020

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Andre T et al. J Clin Oncol. 2020;38(suppl): Abstract LBA4.

Neoadjuvant therapy for early stage MMRd/MSI colorectal cancer



Locally Advanced MSI (dMMR) Colorectal Cancer

- About 15% of all colon cancers
- Less sensitive to chemotherapy
 - Adjuvant therapy
 - Neoadjuvant colon FoXTROT
 - Neoadjuvant rectal TNT
- Associated with Lynch Syndrome (rectal > colon)





MSI status was associated with a significantly higher rate of poor/no response (96% vs. 66%, p < 0.0001)

	No. of patients (%)		
Outcome	dMMR	pMMR	
FOLFOX as initial treatment	n = 21	n = 63	
Progression of disease	6 (29)	0	
Response or stable disease	15 (71)	63 (100)	
Chemoradiation as initial treatment	n = 16	n = 48	
Progression of disease	0	0	
Complete pathologic response	2 (13)	8 (17)	

Germline mutation identified No germline mutation identified

MSI = microsatellite instability; dMMR = deficient mismatch repair; TNT = total neoadjuvant therapy. Foxtrot Collaborative Group. *Lancet Oncol.* 2012;13(11):1152-60. Cercek A, et al. *Clin Can Res.* 2020;26(13):3271-3279.

Neoadjuvant therapy for early stage MMRd/MSI rectal cancer



Rectal Cancer

- Therapy for locally advanced rectal cancer includes a combination of chemotherapy, radiation and surgery
- While cure is frequently achieved, radiation and surgery have life-altering consequences
- Following chemotherapy and radiation, a portion become candidates for non-operative management.



Hypothesis:

In mismatch repair deficient rectal cancer, PD-1 blockade may be able to <u>either:</u>

a) replace chemotherapy

b) replace chemo and radiation therapy

c) replace chemo and radiation, and surgery



Patient population: Stage II and III mismatch repair deficient rectal cancer

Target Enrollment: 30 subjects (expanded, enrollment ongoing)

Study Design: Simon's two stage minimax design

Cercek et al, NEJM 2022; JSMO 2023

Study Objectives

Primary Objectives

- Overall response rate of PD-1 blockade with or without chemoradiation
- Pathologic complete response (pCR) or clinical complete response (cCR) rate at 12 months after PD-1 blockade with or without chemoradiation

Secondary Objective

• Safety and tolerability



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Response Criteria

Overall response

Rectal MRI and endoscopic exam graded as stable disease (SD), partial response (PR), near complete response (nCR) and complete response (CR)

Clinical complete response (cCR)

Endoscopic exam:

- Visual disappearance of the rectal primary
- Normal digital rectal exam

Rectal MRI

- Lack of signal at DWI with scar on T2WI (DWI volume = 0)
- Each target lymph node must have decreased short axis to <0.5cm



Demographic and disease characteristics at baseline N=36

	Value (%)
Sex	
Male	17 (47)
Female	19 (53)
Age, median (range)	50 (range 26-78)
Race/Ethnicity	
White non-Hispanic	24 (67)
Hispanic	3 (8)
Black or African American	4 (11)
Asian-Far East/Indian Subcontinent	5 (14)
Tumor Staging	
T 0/1/2	10 (28)
Т3	17 (47)
T4	9 (25)
Nodal Staging	
Node-positive	34 (94)
Node-negative	2 (6)
Germline Mutation Status n= 26	
MSH2, MLH1, MSH6, or PMS2	18 (69)
Negative	8 (31)
Cercek NEJM 2022: JSMO 2023	

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Cercek NEJM 2022; JSMO 2023





Cercek NEJM 2022; JSMO 2023

MRI



Duration of response



Cercek NEJM 2022; JSMO 2023

Duration of response



Conclusions

- Highlights the importance of biomarker directed therapy in early stage disease
- Neoadjuvant PD-1 therapy incorporated into NCCN guidelines for MMRd locally advanced rectal cancer
- Clinical trial is ongoing
- Longer follow up is needed

Neoadjuvant therapy for early stage MMRd/MSI colon cancer



NICHE study design

- Open-label, exploratory study with an adaptive design
- **Study population:** non-metastatic, resectable and previously untreated adenocarcinoma of the colon
- Original cohorts: 30 patients with dMMR and 30 with pMMR tumors
- Treatment in all patients: nivolumab 3 mg/kg on D1+15 plus ipilimumab 1 mg/kg on D1
 - pMMR cohort: randomized to additionally receive celecoxib
 - Surgery within 6 weeks of registration
- Tumor and normal tissue at baseline and resection, plasma + PBMCs baseline during treatment and follow-up



Baseline characteristics

	dMMR (<i>n</i> = 32)	pMMR (<i>n</i> = 33) *
Age, median (range)	54 (22-82)	62 (44-77)
Sex	14 (440/)	
Male Female	14 (44%) 18 (56%)	18 (55%) 15 (45%)
Clinical T stage		
T2	6 (19%)	11 (33%)
ТЗ	10 (31%)	19 (58%)
T4	15 (47%)	1 (3%)
Тх	1 (3%)	2 (6%)
Clinical N stage		
N-	7 (22%)	20 (61%)
N+	25 (78%)	13 (39%)
Primary tumor location		
Right colon	20 (62%)	8 (24%)
Left colon	8 (25%)	23 (70%)
Transverse colon	4 (13%)	2 (6%)
Lynch syndrome	13 (41%)	0 (0%)

* Two pMMR patients excluded from efficacy analysis due to not matching inclusion criteria

NICHE Updated Results

Pathologic Response	dMMR n=32
Major (<10% viable tumor)	31 (97%)
Complete	22 (69%)
Partial (< 50% viable tumor)	1 (3%)
Nonresponders (> 50% viable tumor)	0 (0%)



Chalabi M, et al. Presented at: ASCO;2022. Chalabi M, et al Nat Med. 2020;26(4):566-576.

NICHE-2 study design

Investigator-initiated, non-randomized multicenter* study



*6 participating hospitals in the Netherlands PBMC = peripheral blood mononuclear cells

NICHE-2:Patient characteristics

Characteristic	Number at risk (%) of intention to treat population <i>n</i> = 112
Age, median (range)	60 (20-82)
ECOG performance status 0 1	97 (87) 15 (13)
Female Sex	65 (58%)
Radiologic stage I/II Low risk III High risk III	14 (13%) 15 (13%) 83 (74%)
Primary tumor location Right colon Left colon Transverse colon	76 (68%) 19 (17%) 17 (15%)
Lynch syndrome Unknown	35 (31%) 10 (9%)

NICHE-2: Adverse Events

4% grade 3-4 immune-related AE

Immune-related Adverse Events (<i>n</i> =112)	n (%)
Patients with any AE	68 (61)
Grade <u>></u> 3	4 (4)
AEs leading to delay in surgery <u>></u> 2 weeks	2 (2)

Most common grade 1-2 AEs were infusion reactions, dry mouth, hyper- or hypothyroidism, fatigue and flu-like symptoms

1	Immune-related Adverse Events (<i>n</i> =112)	Grade 3	Grade 4
	Amylase increase	1	-
	Lipase increase	-	1
	Hepatitis	1	-
	Myositis	1	-
1	Rash	1	-

Five events observed in 4 (4%) patients. Amylase and lipase increases were asymptomatic and resolved without intervention. Rash and hepatitis were treated with prednisone and resolved completely. Myositis was treated with prednisone and mycophenolate and has resolved completely.

NICHE-2:Results



• Surgery within 6 weeks of enrolling on the trial.

Chalabi M, et al. ESMO 2022

NICHE-2:Results

Pati	nologic re	Patients n= 107	
Yes		(≤ 50%)	106 (99%)
	Major	(≤10%)	102 (95%)
	Complet	te (0%)	72 (67%)
	Partial	(10% - 50%)	4 (4%)
No	(≥50%)		1 (1%)

RVT = residual viable tumor



- 14 patients with ypN+ disease
- 3 patients received adjuvant CTx*
- 5 patients >70 years
- 6 patients refused

* 1 non-responder, 1 partial responder and 1 MPR

Disease recurrence

With a median follow-up of 13.1 months (1.4 - 57.4), there have been no disease recurrences



NICHE-2: Responses

pCR rate in Lynch vs sporadic tumors



NICHE-2: Results

- Long term outcomes
 - --Awaiting data co-primary endpoint 3 year DFS

Neoadjuvant PD-1 blockade with toripalimab, with or without celecoxib, in mismatch repair-deficient or microsatellite instability-high, locally advanced, colorectal cancer (PICC): a single-centre, parallel-group, non-comparative, randomised, phase 2 trial



Treatment: Toripalimab (anti PD1) for 3 months with or without celecoxib

Phase II study of neoadjuvant pembrolizum ab in localized unresectable MSI solid tumors

Included 19 MSI colon cancer patients

17 underwent surgery

pCR 65%



Neoadjuvant Immunotherapy in MMRd/MSI Colon Cancer

- Significant tumor regression, 67-75% complete pathologic response
- Duration of immunotherapy was varaible 1-6 mo

Neoadjuvant Immunotherapy in MMRd/MSI Colon Cancer

Organ preservation?

In <u>rectal cancer MRI</u> and endoscopic evaluation correlate with cCR assessment

In <u>colon cancer</u> assessment cCR is challenging

In metastatic setting resected lesions reported pCR up to 60%



Phase II study of neoadjuvant pembrolizumab in localized unresectable MSI solid tumors



ctDNA as a measure of complete response?

Only 54% had +ctDNA at start of treatment



Change in Highest VAF (% ratio)

Additional Clinical Challenges in organ preservation colon cancer

• Response can be associated with significant scarring which leads to strictures



Unpublished data

Ongoing Neoadjuvant Trials for MMRd/MSI Colon and Rectal Cancer

NCT Number	Class of ICB agent(s)	ICB agent	Setting	Additional Agents	Response Endpoint	Microsatellite status of Included Tumors	Phase
NCT03926338	PD-1	Toripalimab	Neoadjuvant	COX2(Celecoxib)	pCR	MSI	1/11
NCT05371197	PD-1	Envafolimab	Neoadjuvant	-	pCR	MSI	II
NCT05197322 NEOPRISM- CRC	PD-1	Pembrolizumab	Neoadjuvant	-	pCR	MSI	II
NCT04165772	PD-1	Dostarlimab	Neoadjuvant	-	cCR Salvage surgery if required	MSI	II
NCT03026140	PD-1, CTLA-4, IL-8, Anti-LAG3	Ipilimumab +Nivolumab +/- celecoxib, Nivolumab + BMS-986253, Nivolumab+ Relatlimab	Neoadjuvant	COX2 (Celecoxib)	pCR	MSS/MSI	II

Conclusion

- Studies highlight the clinical impact of biomarker driven therapy in early-stage disease
- In colon cancer organ preservation should be pursued
- Duration of therapy is unclear and inconsistent
- Longer duration would likely yield higher responses in colon cancer
- Radiographic determination of clinical complete response is challenging in colon cancer
- Improved assessment of complete response; ctDNA, novel imaging?

Acknowledgements

Luis Alberto Diaz Melissa Lumish Jenna Sinopoli **Jill Weiss** Lindsay Temple Jinru Shia Michelle I amendola-Essel Imane El Dika Neil Segal Marina Shcherba Ryan Sugarman Zsofia Stadler Rona Yaeger Joshua Smith Benoit Rousseau **Guillem Argiles** Miteshkumar Patel

Leonard Saltz Avni Desai Maria Widmar **Christopher Crane** Paul Romesser Henry Walch Emmanouil Pappou Philip Paty Julio Garcia-Aguilar Mithat Gonen Marc Gollub Michael Foote Martin R. Weiser

Our patients and their families







Simon and Eve Colin Foundation

Thank you!